
CONTENTS

Preface	xvii
Acknowledgments	xxiii

PART ONE

CONCEPTS AND CRITICAL THINKING

1. COMPARATIVE POLITICS: WHAT IS IT? WHY STUDY IT?	3
A Global Introduction	6
<i>Global Coverage of the Nations of the World</i>	6
<i>Conceptual Comprehensiveness</i>	6
<i>Critical Thinking</i>	7
Getting Started	9
Comparative Politics and International Politics: What's the Difference? How Are They Connected?	10
Global Interdependence	10
THE GLOBAL FINANCIAL CRISES OF 1997–99	12
Democratization	19
DEMOCRACY AND PEACE	21
The Purposes of Comparison	23

Key Terms	24
Notes	24

2. MAJOR TOPICS OF COMPARATIVE POLITICS	27
What Is Politics?	27
Democracy and Authoritarianism	28
Political Processes	31
Goals	33
Sources of Political Conflict	33
<i>Power</i>	33
<i>Resources</i>	34
<i>Social Identity</i>	35
<i>Ideas</i>	45
<i>Values</i>	47
Key Terms	49
Notes	49
3. CRITICAL THINKING ABOUT POLITICS: (I) ANALYTICAL TECHNIQUES OF POLITICAL SCIENCE	51
"What Is?": A Guide to Empirical Political Analysis	53
Definition	54
Description: Observing, Collecting, Comparing	54

Explanation and Generalization	55	POWER IN THE SOVIET UNION	105
<i>Variables</i>	56	POWER IN IRAN	105
<i>Correlations</i>	58	<i>Autocracies and Oligarchies</i>	107
<i>Laws</i>	62	<i>Authoritarianism and Totalitarianism</i>	107
<i>Theories</i>	64	Hypotheses on Power	107
<i>Hypotheses</i>	65	<i>Economics and Power</i>	107
<i>Models</i>	66	HYPOTHESIS-TESTING EXERCISE	108
<i>Paradigms</i>	69	<i>The Abuse of Power</i>	109
Quantitative and Qualitative		<i>Corruption and Power</i>	110
Political Science	70	<i>Powerlessness</i>	113
Logical Fallacies	71	WEAPONS OF THE WEAK	113
Key Terms	72	Key Terms and Names	114
Notes	72	Notes	114
4. CRITICAL THINKING ABOUT POLITICS: (II) THE LOGIC OF HYPOTHESIS TESTING	75	6. THE STATE AND ITS INSTITUTIONS	117
Sources of Hypotheses	75	Defining the State	117
Steps of Hypothesis Testing	77	Categorizing Governments	118
<i>Defining Key Terms</i>	77	Legitimacy	121
<i>Identifying Our Variables</i>	79	THOMAS HOBBS	122
<i>Specifying the Expectations of the Hypothesis</i>	79	JOHN LOCKE	124
<i>Collecting and Examining the Evidence</i>	80	JEAN-JACQUES ROUSSEAU	125
<i>Drawing Conclusions from the Evidence</i>	84	WEBER'S THREE TYPES OF LEGITIMATE AUTHORITY	127
Paradoxes of Causative Logic	89	Anarchism	128
The Practical Importance of Hypothesis Testing	90	State Institutions	129
Counterintuitive Results	91	<i>The Executive</i>	129
Some Concluding Thoughts	92	<i>The Legislature</i>	130
Key Terms	93	<i>The Judiciary</i>	131
Notes	93	<i>The Bureaucracy</i>	131
5. POWER	95	<i>The Military</i>	133
POWER IN HAITI	95	<i>How States Are Organized</i>	133
Power and Political Power	96	Hypotheses on the State	134
Two Dimensions of Power: Dominance and Influence	97	HYPOTHESIS-TESTING EXERCISE	138
<i>Power as Dominance</i>	97	THE POLICY PROCESS	140
<i>Power as Influence</i>	97	Key Terms and Names	141
IS THE UNITED STATES DOMINATED BY A "POWER ELITE"?	98	Notes	141
<i>Power Is Relational</i>	99	7. NATION-STATES, NATIONALISM, AND SUPRANATIONALISM	145
<i>Who Gets What?</i>	100	The Nation-State	145
Authority	102	Nationalism	146
<i>Power as Legal Authority</i>	102	<i>Nationalism in the Developing World</i>	148
PROFILE: SADDAM HUSSEIN	102	The Formation of Nations	150
<i>Power as Personal Authority</i>	104	When "the Nation" Don't Fit	151
		CANADA	151

The Disintegration of Nation-States	153	6. Support of the Disadvantaged for Democracy	220
THE DISINTEGRATION OF YUGOSLAVIA	154	7. An Active Civil Society and a Democratic	
PROFILE: SLOBODAN MILOSEVIC	156	Political Culture	222
Supranationalism	159	8. Education and Freedom of Information	223
THE EUROPEAN UNION	159	9. A Homogeneous Society	224
HYPOTHESIS-TESTING EXERCISE	162	10. A Favorable International Environment	224
Key Terms	163	Poland	227
Notes	163	Romania	233
8. DEMOCRACY: WHAT IS IT?	165	Is Democracy Inevitable?	238
Four Faces of Democracy	166	Key Terms	239
WHO IS A CITIZEN?	168	Notes	239
<i>Minimum and Maximum Forms of Democracy</i>	171	11. PEOPLE AND POLITICS:	
<i>Face I. Democracy as Popular Sovereignty</i>	171	VOTERS—PARTIES—	
<i>Face II. Democracy as Rights and Liberties</i>	177	INTEREST GROUPS—	
<i>Face III. Democracy as a Value System</i>	179	DISSIDENCE—REVOLUTION	243
HYPOTHESIS-TESTING EXERCISE	181	Mass Participation in Democracies	243
<i>Face IV. Economic Democracy</i>	184	THE LOGIC OF COLLECTIVE ACTION	243
How People View Democracy	189	Elections	245
Key Terms	191	Political Parties	247
Notes	191	HYPOTHESIS-TESTING EXERCISE	252
9. DEMOCRACY: HOW DOES IT		EXIT, VOICE, AND LOYALTY	254
WORK? STATE INSTITUTIONS		Interest Groups	255
AND ELECTORAL SYSTEMS	193	INTEREST-GROUP PLURALISM	
State Institutions	193	IN THE UNITED STATES	258
<i>The U.S. Separation of Powers System</i>	193	Patron-Client Relationships	261
<i>Parliamentary Government</i>	195	Mass Participation in Authoritarian	
<i>Presidential-Parliamentary Democracies</i>	201	Regimes	262
<i>Comparisons</i>	202	Dissidence	263
Electoral Systems	203	Revolution	264
<i>Electing a President</i>	203	Key Terms	266
<i>Legislative Elections</i>	205	Notes	266
HYPOTHESIS-TESTING EXERCISE	211	12. POLITICAL CULTURE AND	
Key Terms	212	POLITICAL PSYCHOLOGY	269
Appendix	212	Defining Political Culture	269
Notes	213	<i>Studies of Political Culture</i>	271
10. CONDITIONS FOR DEMOCRACY		HYPOTHESIS-TESTING EXERCISE	273
AND DEMOCRATIZATION:		<i>Conceptualizing Political Culture</i>	274
TEN CONDITIONS—		<i>Do Political Cultures Change?</i>	278
POLAND—ROMANIA	215	Psychological Factors:	
Ten Conditions for Democracy	216	Are People Rational?	280
1. State Institutions	216	<i>Rational Choice Theory</i>	281
2. Elites Committed to Democracy	217	<i>Game Theory</i>	282
3. National Wealth	218	Key Terms	283
4. Private Enterprise	219	Appendix	283
5. A Middle Class	220	Notes	285

13. IDEOLOGY	287	15. THE POLITICS OF DEVELOPMENT: SOUTH KOREA—INDIA	341
Liberalism	288	Newly Industrializing Countries (NICs)	342
<i>Liberalism and Conservatism in the United States</i>	289	South Korea	343
<i>Liberalism and Conservatism Around the World</i>	290	HYPOTHESIS-TESTING EXERCISE	346
Christian Democracy	291	Underdeveloped Countries	350
Socialism	291	<i>Population</i>	351
Marxism	292	<i>Sociocultural Explanations</i>	352
Soviet-Style Communism	298	<i>Domestic Economy Explanations</i>	353
Social Democracy	300	<i>International Explanations</i>	354
<i>Socialism in the Developing World</i>	301	<i>Domestic Political Explanations</i>	355
Fascism	302	India	357
<i>Hypernationalism</i>	303	<i>India and The Ten Conditions for Democracy</i>	361
Racism	304	Conclusions	365
Totalitarianism	304	Key Terms and Names	365
<i>Mass Mobilization Through Propaganda and Coercion</i>	305	Notes	365
Religion as Political Ideology: Islam	306		
The Left-Right Spectrum	309		
HYPOTHESIS-TESTING EXERCISE	310		
Key Terms	312		
Notes	312		
14. POLITICAL ECONOMY: LAISSEZ-FAIRE— CENTRAL PLANNING— MIXED ECONOMIES— WELFARE STATES	315		
A TOURIST'S GUIDE TO INTERNATIONAL ECONOMICS	315		
Some Basic Economic Concepts	318		
Laissez-Faire Capitalism	321		
ADAM SMITH	321		
HYPOTHESIS-TESTING EXERCISE	323		
The Centrally Planned Economy (CPE)	324		
Mixed Economics	327		
JOHN MAYNARD KEYNES	328		
Welfare States	330		
<i>The Emergence of the Modern Welfare State: Germany, Sweden, and the United States</i>	331		
<i>The Postwar Welfare State</i>	332		
<i>Farewell to the Welfare State?</i>	334		
<i>The Politics of Welfare States</i>	335		
Key Terms and Names	339		
Notes	339		
		PART TWO	
		COUNTRIES AND LEADERS	
		16. THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND	371
		Tony Blair Takes Office	372
		Historical Background: The Evolution of British Democracy	374
		<i>The Mother of Parliaments</i>	375
		<i>Nationalism and Political Culture</i>	378
		<i>Private Enterprise and the Middle Class</i>	379
		<i>The Rise of Political Parties</i>	381
		<i>British Politics Since World War II</i>	383
		PROFILE: MARGARET THATCHER	387
		PROFILE: TONY BLAIR	392
		HYPOTHESIS-TESTING EXERCISES	396
		British Democracy Today: Parties, Elections, and State Institutions	398
		<i>Parties and Elections</i>	398
		Parliament	407
		The Government	414
		The Monarchy	416
		Political Issues in Today's Britain	417
		HEALTH CARE IN THE UK: THE NATIONAL HEALTH SERVICE	419

Britain and the Four Faces of Democracy	420	Historical Background:	
Key Terms and Names	420	Germany's Difficult Path to Democracy	495
For Discussion: What Would You Do?	421	<i>The Weakness of German Liberalism</i>	496
Appendix: House of Commons		<i>German Nationalism</i>	497
Elections, 1945–97	421	<i>The Creation of the German State</i>	498
For Further Reading	421	<i>The Weimar Republic</i>	500
Websites	422	<i>The Fascist Regime</i>	503
Notes	422	Democracy in the Federal Republic	
		of Germany	505
17. FRANCE	427	<i>The Formation of Parties</i>	505
“Cohabitation” and the Elections		<i>The Basic Law and the Federal Republic</i>	
of 1997	428	of Germany's Institutional Framework	507
Historical Background: The Evolution		<i>A Federal System</i>	508
of French Democracy	432	<i>The Bundesrat</i>	509
<i>The French State</i>	432	<i>The Bundestag</i>	511
<i>Social Class and Democracy</i>	439	<i>The Presidency</i>	517
<i>Politics in the Vth Republic</i>	441	<i>The Federal Judiciary</i>	518
PROFILE: CHARLES DE GAULLE	442	<i>The Bundesbank</i>	519
PROFILE: FRANCOIS MITTERRAND	452	Parties and Politics in the Federal	
French State Institutions	457	Republic	519
<i>Parliament</i>	462	<i>Building a Democratic Political Culture</i>	519
<i>The Constitutional Council</i>	465	<i>The Adenauer Era (1949–63)</i>	520
<i>The Civil Service</i>	466	<i>The Grand Coalition (1966–69)</i>	521
<i>Local Government and Decentralization</i>	467	<i>Brandt in Power (1969–74)</i>	522
<i>Political Parties</i>	468	<i>Schmidt's Governments (1974–82)</i>	523
PROFILE: JACQUES CHIRAC	469	PROFILE: HELMUT KOHL	525
PROFILE: LIONEL JOSPIN	475	<i>The SPD-Green Coalition</i>	527
Interest Groups	477	PROFILE: GERHARD SCHROEDER	528
FRANCE AND GLOBALIZATION	477	<i>Joschka Fischer and the Greens</i>	530
HYPOTHESIS-TESTING EXERCISE	478	Neo-Corporatism and Germany's	
France and the Four Faces of Democracy	480	Political Economy	531
Key Terms and Names	480	HYPOTHESIS-TESTING EXERCISE	532
For Discussion: What Would You Do?	481	Political Issues in Today's Germany	533
For Further Reading	481	<i>Restructuring the Economy</i>	533
Websites	481	<i>The Consequences of Unification</i>	534
Notes	481	<i>Ethnic Diversity</i>	534
		<i>International Commitments</i>	535
18. GERMANY	485	<i>The Crisis of the Christian Democrats</i>	535
The Revolution of 1989–90	486	Germany and the Four Faces	
Germany Today	489	of Democracy	535
<i>Social Diversification</i>	490	Key Terms and Names	536
<i>Economic Restructuring</i>	491	For Discussion: What Would You Do?	537
<i>Political Change</i>	492	For Further Reading	537
<i>Democracy and Political Conflict</i>		Websites	537
<i>in Germany</i>	494	Notes	537

19. JAPAN Dean W. Collinwood	543	Websites	584
Earthquakes and Paralysis in the 1990s	544	Notes	584
Democracy and Political Conflict in Japan	547	20. ISRAEL NATHAN J. BROWN	587
Historical Background of Japanese Politics: Why No Democracy?	548	Historical Background: The Evolution of Israeli Democracy	590
<i>The Role of the Emperor</i> <i>in Japanese Government</i>	548	<i>The Creation of Israel</i>	590
<i>The Rise of Party Politics</i>	551	<i>What Kind of Jewish State?</i>	591
<i>Conflict between the Military and the Civilian</i> <i>Government</i>	553	<i>The Basic Laws</i>	593
<i>Japan and the Conditions for Democracy</i>	554	PROFILE: DAVID BEN-GURION	594
Building Japanese Democracy	555	<i>The Six-Day War of June 1967</i>	594
<i>The Occupation Begins</i>	555	THE PALESTINIANS	595
<i>Japan's Constitution</i>	555	<i>Changes in Israeli Society</i> <i>and Politics After 1967</i>	598
<i>Political Parties in Postwar Japan</i>	556	<i>Likud in Power</i>	599
<i>The Results of One-Party Dominance</i>	558	PROFILE: MENACHEM BEGIN	600
PROFILE: KAKUEI TANAKA	559	<i>Likud and Labor</i>	601
Japan's Political Economy: From "Japan Inc." to the "Bubble"	561	<i>Proportional Representation</i> <i>and Coalition Politics</i>	602
<i>The Japanese Bureaucracy</i>	564	PROFILE: YITZHAK RABIN	602
<i>The Bursting Bubble</i>	567	<i>Netanyahu in Power</i>	603
HYPOTHESIS-TESTING EXERCISE	568	PROFILE: BINYAMIN NETANYAHU	603
Political Change in the 1990s	569	<i>Barak Takes Office</i>	605
<i>The 1993 Elections</i>	569	PROFILE: EHUD BARAK	605
<i>The Electoral Reforms Take Effect</i>	570	<i>Israel and the Ten Conditions</i> <i>for Democracy</i>	606
<i>The 1996 Elections</i>	571	Israel's State Institutions	606
<i>Aftermath of the 1996 Elections</i>	572	<i>The Knesset</i>	606
<i>The 2000 Elections</i>	573	<i>Selecting the Prime Minister</i> <i>and the Government</i>	607
Clues to Attitudes and Behavior: Ideology, Political Culture, and Social Class	575	<i>Direct Election of the Prime Minister</i>	609
<i>Does Ideology Matter?</i>	575	<i>Other State Institutions</i>	611
<i>Ideology, Political Culture, and Class Identity</i> <i>in Historical Perspective</i>	575	Israel's Political Parties	615
<i>Political Attitudes and Social Class</i> <i>in Postwar Japan</i>	576	<i>Labor</i>	615
<i>Consensus and Conflict in Contemporary Japan</i>	579	<i>Other Leftist Parties</i>	616
Contemporary Issues	580	<i>Arab Parties</i>	617
<i>The U.S.-Japan Security Treaties Issue</i>	580	<i>Parties of the Center</i>	618
<i>The Northern Territories Dispute</i>	581	<i>Likud and the Nationalist Right</i>	619
<i>Japan and Its Asian Neighbors</i>	582	<i>Other Parties of the Nationalist Right</i>	619
Japan and the Four Faces of Democracy	582	<i>Religious Parties</i>	620
Key Terms and Names	584	<i>Jewish Ethnic Parties</i>	621
For Discussion: What Would You Do?	584	Israel's Trade Unions	622
For Further Reading	584	Political Issues in Today's Israel	623
		<i>Security and the Peace Process</i>	623
		THE DOMESTIC POLITICS OF THE PEACE PROCESS	625
		<i>Religion and the State</i>	626
		<i>Economic Liberalization</i>	626

HYPOTHESIS-TESTING EXERCISE	627	China's Political Institutions	708
Israel and the Four Faces of Democracy	628	<i>The Chinese Communist Party</i>	708
Key Terms and Names	629	<i>The State</i>	709
For Discussion: What Would You Do?	629	Politics in Mao's China	711
For Further Reading	629	Legacies of the Cultural Revolution	716
Websites	629	PROFILE: DENG XIAOPING	717
Notes	630	Politics in the Reform Era	720
		<i>Overconcentration of Power</i>	720
		<i>Lack of Formal Institutions</i>	720
		<i>Rejuvenation of the Party</i>	721
		<i>Weak Bureaucracy</i>	722
		<i>Reconciliation of Party and Society</i>	722
21. RUSSIA	633	China's Economic Development Strategies	723
Political Conflict in Russia	637	<i>The Soviet Model (1953–57)</i>	723
Historical Traditions:		<i>Self-Reliance (1957–76)</i>	724
Why No Democracy?	637	<i>The Reform Era (1978–Present)</i>	725
<i>Tsarism</i>	637	State-Society Relations in China	729
HYPOTHESIS-TESTING EXERCISE	638	<i>Fundamental Features</i>	
<i>Communism</i>	645	<i>of State-Society Relations</i>	729
PROFILE: MIKHAIL GORBACHEV	656	<i>Post-Mao Changes in State-Society Relations</i>	731
PROFILE: BORIS YELTSIN	665	Political Participation in China	733
Boris Yeltsin's Russia	668	<i>The Democracy Wall Movement, 1978–79</i>	736
Contemporary Russia and the Ten Conditions for Democracy	682	PROFILE: WEI JINGSHENG	736
<i>State Institutions: "Stateness"</i>	682	<i>Student Demonstrations, 1986–87</i>	737
<i>State Institutions: The Institutional Structure</i>	683	<i>The Tiananmen Crisis, Spring 1989</i>	737
<i>Elites Committed to Democracy</i>	686	PROFILES: CHINA'S CURRENT LEADERSHIP	738
<i>Socioeconomic Conditions: National Wealth, Private Enterprise, the Middle Class, and the Disadvantaged</i>	687	HYPOTHESIS-TESTING EXERCISE	739
<i>Civil Society and a Democratic Political Culture</i>	688	Conclusion	742
<i>Education and Freedom of Information</i>	690	Key Terms and Names	742
<i>A Favorable International Environment</i>	690	For Discussion: What Would You Do?	742
Conclusion	691	For Further Reading	742
Key Terms and Names	691	Websites	743
For Discussion: What Would You Do?	692	Notes	743
For Further Reading	692		
Notes	692	23. MEXICO AND BRAZIL	
22. CHINA Bruce J. Dickson	697	Joseph L. Klesmer	747
China's Historical Legacies:		PROFILE: VICENTE FOX	748
Why No Democracy?	699	Mexico	750
<i>The Chinese Communist Party and China's Civil War</i>	703	<i>The Setting</i>	751
PROFILE: MAO ZEDONG	703	<i>The Evolution of Mexican Politics</i>	753
THE REPUBLIC OF CHINA ON TAIWAN	706	<i>Mexico Under the PRI</i>	757
<i>The Communists Take Over</i>	708	HYPOTHESIS-TESTING EXERCISE	761
		<i>Economic Development</i>	764
		<i>Is Democratization Under Way?</i>	767
		PROFILE: CARLOS SALINAS DE GORTARI	769
		<i>Manifestations of Political Change</i>	771

NAFTA	777	<i>Ethnic, Regional, and Religious Divisions</i>	820
<i>Hypotheses on Democratization</i>	780	<i>The First Republic (1960–66)</i>	822
Brazil	782	<i>Military Intervention and Civil War</i>	822
<i>The Setting</i>	782	<i>The Second Republic (1979–83)</i>	823
<i>Brazil's Political Development</i>	784	<i>Babangida's Dictatorship</i>	824
<i>Explaining Brazilian Authoritarianism</i>	790	<i>The Aborted Transition (1993)</i>	825
<i>Brazilian Economic Development</i>	793	<i>Abacha's Dictatorship</i>	826
<i>Brazil's New Democracy</i>	794	<i>Obasanjo Returns</i>	827
<i>The New Republic and Its Institutions</i>	797	HYPOTHESIS-TESTING EXERCISE	828
PROFILE: FERNANDO HENRIQUE CARDOSO	800	South Africa	830
<i>Hypotheses on Democracy and Democratization</i>	802	<i>The Roots of Apartheid</i>	830
Conclusion	804	<i>White Domination and Black Protest</i>	832
Key Terms and Names	804	PROFILE: NELSON MANDELA	834
<i>Mexico</i>	804	<i>The Turbulent Transition</i>	835
<i>Brazil</i>	805	The New South Africa	837
For Discussion: What Would You Do?	805	<i>Challenges Facing the New South Africa</i>	838
For Further Reading	805	HYPOTHESIS-TESTING EXERCISE	839
Websites	805	Conclusion	842
Notes	805	Key Terms and Names	843
24. NIGERIA AND SOUTH AFRICA		<i>Africa</i>	843
Timothy D. Sisk	809	<i>Nigeria</i>	843
The Political Development		<i>South Africa</i>	843
of Modern Africa	811	For Discussion: What Would You Do?	843
<i>Patterns of Colonialism</i>	812	For Further Reading	843
<i>Legacies of Colonialism</i>	813	Websites	844
<i>African Nationalism</i>	813	Notes	844
Democracy in Africa	815	Photo Credits	847
<i>Regimes in Africa: Non-Democratic</i>		Text and Line Art Permissions	849
<i>and Democratic</i>	816	Index	851
Nigeria	820		